

**Grievance Redressal Mechanism for the Investors of Funds under management of
UTI Alternatives Private Limited**

UTI Alternatives Private Limited, an Investment Manager to Alternative Investment Funds registered with the Securities and Exchange Board of India (SEBI) under SEBI (Alternative Investment Funds) Regulations, 2012, has prescribed below mechanism to attend and address any investor query/concern/grievance at the earliest. The Investors may report their grievances by opting any of the following options available with them;

- a) **Registration of Complaint with the Company** - over the telephone or through email/ letter ; or
- b) **Registration of Complaint with the SEBI** - through posting on the SEBI Complaint Redress System (SCORES)

1. Procedure – I

Any Investor who intends to raise any query/concern/grievance can lodge the same through any of the following modes:

- i. **Email:** Investors can send an email at infoalternatives@uti.co.in
- ii. **Letter:** Investors can write to the Investment Manager with their Complaint at the Corporate Office address as mentioned here:

UTI Alternatives Private Limited
Infinity Tower A, 7th Floor, DLF Cybercity, DLF Phase – II, Gurugram - 122002, Haryana
India

The Investment Manager shall look into the Grievance redressal mechanism and shall ensure that the Investor Grievance is resolved without prejudice in good faith and in the best interest of the Investors, thus ensuring retention of Investors' confidence. The Investor can expect a reply within five (5) Business Days of approaching the Investment Manager.

2. Procedure – II

If an Investor is not satisfied with the resolution/ response provided or if they do not receive a response within Seven (7) Business Days of making their grievance, then they can approach to the Head – Investor Relations (H-IRs) as follows;

- i. **Email/ Phone:** Investors can send an email to H-IRs at sanket.jha@utialternatives.com or contact at +91 124 6597000
- ii. **Letter:** Investors can write to the Investment Manager with their Complaint at the Corporate Office address as mentioned here:

ATTN: Head – Investor Relations
UTI Alternatives Private Limited
Infinity Tower A, 7th Floor, DLF Cybercity, DLF Phase – II, Gurugram - 122002, Haryana
India

The Investor can expect a reply within five (5) Business Days of approaching the H- IRs.

3. **Procedure – III**

If an Investor is not satisfied with the resolution/ response provided or if they do not receive any response even after escalating to H-IRs, then they can approach to the Chief Business Officer (CBO) as follows;

- iii. **Email/ Phone:** Investors can send an email to CBO at sreejit.pillai@utialternatives.com or contact at +91 022 66786648
- iv. **Letter:** Investors can write to the Investment Manager with their Complaint at the Corporate Office address as mentioned here:

ATTN: Chief Business Officer

UTI Alternatives Private Limited

Infinity Tower A, 7th Floor, DLF Cybercity, DLF Phase – II, Gurugram - 122002, Haryana India

The Investor can expect a reply within five (5) Business Days of approaching the CBO.

4. **Procedure - IV**

Registration of Complaint on the SCORES website (www.scores.gov.in)

- a) The Investors will have an alternate option to register their grievances directly to the SEBI on the SCORES website.
- b) Upon receiving Investor Grievances through the SCORES platform, the Investment Manager will ensure its resolution within 21 calendar days of submission of such complaint. During such period, the Investor will be kept duly informed about status and actions taken.
- c) However, the Company suggests to follow the Grievance Redressal Mechanism through the Company, before approaching SEBI through the SCORES Website.

5. **Dispute Resolution Mechanism**

Any Investor Grievance not resolved to the satisfaction of Investors per the above Grievance Redressal Framework will be resolved by the settlement through conciliation and arbitration process as may be agreed under the Investors' Contribution Agreement.

The Investment Manager shall redress investor grievances promptly but not later than twenty-one (21) calendar days from the date of receipt of the grievance.

Without prejudice to anything stated above, the Investor may further register its grievance/complaint through SCORES (SEBI Complaints Redress System) and SMART ODR portal available at <http://scores.gov.in> and <https://smartodr.in/login>, post which SEBI may forward the complaint to the Investment Manager and the Investment Manager will suitably address the same.